

November 07, 2024

To,
BSE Limited (“BSE”)
Phiroze Jeejeebhoy Towers
Úlal Street,
Mumbai 400 001
BSE Script Code: 543712

To,
National Stock Exchange of India Limited (“NSE”)
The Listing Department,
Exchange Plaza, Bandra-Kurla Complex,
Bandra (East), Mumbai – 400051
NSE Symbol: **AHL**

Subject: Intimation under Regulation 30 of SEBI LODR Regulations

Dear Sir/ Ma’am,

Pursuant to the provisions of Regulation 30 read with sub-para 20, Para A, Part A, Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”), we hereby submit the disclosure regarding action taken imposing monetary penalty on Abans Securities Private Limited, Step-down Subsidiary of the Company.

The details of the fine as required under Regulation 30 of Listing Regulations are given below:

Name of Company on which action being taken	Abans Securities Private Limited (Step-down Subsidiary of the Company) (“ASPL”)
Name of the Authority	National Stock Exchange of India Limited
Nature and details of the action(s)	Monetary penalty of Rs. 50,000/- is levied post Regular Inspection in CM, F&O, CD and COM Segments conducted during July 2023
Date of receipt of communication from the authority	November 06, 2024
Details of the violation(s) /contravention(s) committed or alleged to be committed	1) Member had made pay-out of funds to client in excess of their available balances. 2) Member has not kept appropriate evidence in respect of the order placed by the clients.
Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	There is no material impact on financials, operation or other activities of the Company. ASPL is providing supporting documents to the exchange for both the points and will request for the reversal or waiver of penalty.

Thanking You,

For Abans Holdings Limited

Sheela Gupta
Company Secretary & Compliance Officer

Abans Holdings Limited

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